

Applicant or Patentee: Brian Sagar

Serial or Patent No.: Unknown

Atty. Dkt. No.: 069918.000002

Licensed or Issued: Herewith

For: Retroreflective Inks

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled \_\_\_\_\_

"Retroreflective Inks"

described in

☐ the specification filed herewith

☒ application serial no. PCT/GB00/00062, filed January 12, 2000

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization

☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Reflec plc

ADDRESS Road One, Winsford Industrial Estate, Winsford, Cheshire CW7 300, GB

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Brian Sagar

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

Date

27 June '07

Date

Date

Applicant or Patentee: Brian Sagar

Small Business Concern:

Serial or Patent No.: Unknown

Filed or Issued: Herewith

For: Retroreflective Inks

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) SMALL BUSINESS CONCERN**

I hereby declare that the herein-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9 (d), for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **RETROREFLECTIVE INKS** by inventor(s) **Brian Sagar** described in:

- ☐ the specification filed herewith.
- ☐ the application identified above.
- ☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor(s), who could not qualify as a small business concern under 37 C.F.R. 1.9 (d) or a nonprofit organization under 37 C.F.R. 1.9 (e).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME AND TITLE OF PERSON SIGNING:

FULL NAME: PETER ALAN WHITE

TITLE: MANAGING DIRECTOR

ADDRESS: REARLEY ROAD ONE WIMBORNDEN ST WIMBORNDEN CHESHIRE

SIGNATURE: [Signature] DATE: 18/06/01

☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:]	§	DOCKET NO.:	069918.000002
Brian Sagar	§		
	§		
SERIAL NO.: Unknown	§	GROUP ART NO.:	Unknown
	§		
FILED:] Herewith	§		
	§	EXAMINER:	Unknown
TITLE: Retroreflective Inks	§		

DECLARATION

As below named inventor, I declare that:

My residence, post office address and citizenship are as stated below, next to my name,

I believe I am the original, first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Retroreflective Inks** the specification of which

☒ is attached hereto

☒ was filed on January 12, 2006 as Application No. PCT/GB00/00062 and for which a preliminary amendment was filed in the USPTO on is filed herewith.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

9900654.6 ✓	GB	Filed 14/01/99 ✓	Priority claimed: Yes
9901031.6 ✓	GB	Filed 19/01/99 ✓	Priority claimed: Yes
9921394.4 ✓	GB	Filed 10/09/99 ✓	Priority claimed: Yes
9921618.6 ✓	GB	Filed 14/09/99 ✓	Priority claimed: Yes

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

PCT Parent

12 January 2000 ✓  
(PCT Filing date)

PCT/GB00/00062 ✓  
(Application No.)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Brian Sagar

Inventor's Signature: Brian Sagar

Date: 27 June, 2001.

Residence

Citizenship:

Post Office Address: **The Cottage, 42 Moseley Road, Cheadle Hulme, Cheadle, Cheshire  
SK8 5HJ, GB**